

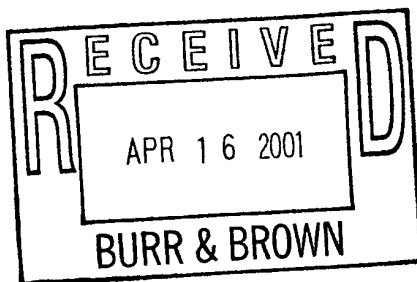


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/749,252 | 12/27/2000 | Yukihisa Takeuchi | 789_064 |

025191
BURR & BROWN
PO BOX 7068
SYRACUSE, NY 13261-7068



CONFIRMATION NO. 5799
FORMALITIES LETTER



OC000000005956929

Date Mailed: 04/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

05/31/2001 MBERHE 00000009 03743222

01 FC:101
02 FC:105
03 FC:103
04 FC:102
05 FC:139

7:10:00 PM
12/27/00
12/27/00
12/27/00
12/27/00
12/27/00

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Practitioner's Docket No.: 789_0004



sector ✓
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yukihiisa TAKEUCHI, Tsutomu NANATAKI, Natsumi SHIMOGAWA
and Takayoshi AKAO

Serial No.: 09/749,252

Art Unit: Not Assigned

Filed: December 27, 2000

Examiner: Not Assigned

For: DISPLAY DEVICE AND METHOD FOR PRODUCING THE SAME

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with
the United States Postal Service as first class mail addressed to:
Box Missing Parts, Assistant Commissioner for Patents,
Washington D.C. 20231 on May 22, 2001.

Elizabeth A. VanAntwerp
Elizabeth A. VanAntwerp

COMPLETION OF FILING REQUIREMENTS
— NONPROVISIONAL APPLICATION

(check and complete this item, if applicable)

I. ☒ This replies to the Notice to File Missing Parts of Nonprovisional Application mailed
April 11, 2001.

☒ A copy of the Notice to File Missing Parts of Nonprovisional Application—Filing
Date Granted is enclosed.

DECLARATION OR OATH

II. ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for this
application.

OTHER PAPERS ENCLOSED

III. ☒ A Preliminary Amendment
☒ Assignment with Assignment Transmittal
☒ Information Disclosure Statement
☒ Form PTO-1449
☒ Prior Art References

TRANSMITTAL OF ENGLISH TRANSLATION
OF NON-ENGLISH LANGUAGE PAPERS

IV. ☒ Submitted herewith is an English translation of the non-English language application
papers as originally filed. Also submitted herewith is a statement by the translator of the
accuracy of the translation. It is requested that this translation be used as the copy for
examination purposes in the PTO.

SMALL ENTITY STATUS

- V. ☐ Applicant(s) claim(s) small entity status. See 37 C.F.R. § 1.27.

COMPLETION FEES

- VI. **WARNING:** Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 C.F.R. 1.53.

NOTE: For effect on fees of failure to establish status, or change status, as a small entity, see 37 C.F.R. 1.28(a).

1. Filing Fee
 - ☒ Original Patent Application \$ 710.00
(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)
 2. Fees for Claims (30 Claims; 7 Indep.)
 - ☒ each independent claim in excess of 3 \$ 320.00
(37 C.F.R. 1.16(b) --\$80.00; small entity--\$40.00)
 - ☒ each claim in excess of 20 \$ 180.00
(37 C.F.R. 1.16(c) --\$18.00; small entity--\$9.00)
 - ☐ multiple dependent claim(s) \$.00
(37 C.F.R. 1.16(d) --\$270.00; small entity--\$135.00)
 3. Surcharge fees
 - ☒ late payment of filing fee \$ 130.00
(37 C.F.R. 1.16(k) --\$130.00; small entity--\$65.00)

and/or

 - ☒ late filing of original declaration or oath \$.00
(37 C.F.R. 1.16(k) --\$130.00; small entity--\$65.00)

NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(k).
 4. ☒ Fee for processing an application filed with a specification in a non-English language \$ 130.00
(37 C.F.R. 1.17(k) and 1.52(d) --\$130.00)
 5. ☒ Assignment Fee \$ 40.00
- Total Completion Fees \$ 1,510.00**

EXTENSION OF TIME

- VII. ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE**VIII.**

| | | |
|-----------------------|------------------------|-------------------|
| The total fee due is: | Completion fee(s) | \$1,510.00 |
| | Extension fee (if any) | \$.00 |
| | Total Fee Due | \$1,510.00 |

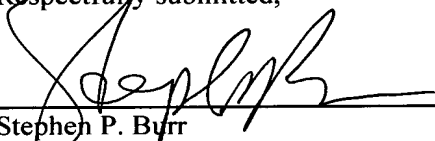
PAYMENT OF FEES

- IX.** ☒ Enclosed is a check in the amount of \$1,510.00.
☐ Charge Account No. _____ in the amount of \$ _____. A duplicate of this request is attached.

AUTHORIZATION TO CHARGE ADDITIONAL FEES OR CREDIT OVERPAYMENT

- X.** ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
☒ Any additional filing fees required under 37 CFR 1.16.
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

May 22, 2001
Date

SPB/eav

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